



Compliance

KfW IPEX-Bank

International Use of Compliance Suite

actico
Smarter Decisions

Success Story

Target:

- Implement a new software solution for anti-money laundering, recognition of high risk persons, and embargo monitoring
- Monitor project and export financing

Compliance with EU Anti-Money Laundering Regulations

In the wake of new legal requirements imposed by the EU Anti-Money Laundering Directive and relevant sanction regulations, KfW IPEX-Bank was seeking a software solution to comply with these regulations and support the bank's own specific requirements. KfW IPEX-Bank is responsible for international project and export financing within KfW Bank Group. ACTICO won the bid. The flexibility of its Compliance Suite means that it can best fulfill the bank's functional and technical requirements.

Flexible Solution for Customized Financing Models

The MLDS anti-money laundering module of the Compliance Suite includes a KYC profile (Customer Due Diligence) that plays a particularly significant role in reviewing and clarifying

complex financing. The automatic workflow leads the relationship manager through the process of opening a business relationship and creating a KYC profile. In this context the risk classification assigned to the client alerts the relationship manager to the documentation that is required so that no relevant information is forgotten.

The various relationships of persons involved in large credits can be graphically represented in the Relationship Browser. This makes it possible to more easily identify relationship networks and resulting risks. MLDS also reviews actual transactions for suspicious scenarios that may need clarification. The Name Matching Customer module compares persons involved in the transactions with current watch lists, while transaction details such as recipient and booking text are checked against name and embargo lists.

Mobile Notification of Hits

Clarification of embargo hits can be extremely time-sensitive so the ACTICO Compliance Suite supports notification by email where those hits are involved. These notices can also be accessed via mobile telephone regardless of location, facilitating increased mobility for Compliance employees.

About KfW IPEX-Bank

Within the KfW Bank Group, KfW IPEX-Bank is responsible for international project and export financing. Its mandate to provide financing in the interests of the German and European economies flows from the statutory mandate of the KfW Bank Group. It focuses on providing medium- and long-term financing to support exports, develop economic and social infrastructure, and for projects that protect the environment and

the climate. It functions as an independent enterprise and contributes substantially to the mandate of the KfW Bank Group to promote economic development.



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ACTICO is a leading international provider of software for intelligent automation and digital decisioning. Its scalable software combines rule-based technology and machine learning in a unique way and is totally audit-proof. It allows companies of all sizes to process huge amounts of data and make and automate AI-supported and rule-based decisions in real time. Through intelligent automation, ACTICO increases the business value of its customers by improving their operational decisions.

The company was originally set up as Innovations Software Technology, but it has been trading as ACTICO since 2015. Today, its customers include leading companies in 35+ countries, including Volkswagen Financial Services, ING and KfW. The company employs 140 people worldwide and has offices in Chicago and Singapore. Its head office is located in Immenstaad, Germany.

More information at www.actico.com